# Tuning and plateaux for the entropy of $\alpha$-continued fraction transformations 

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## Credits

Joint work with C. Carminati (Pisa)

## Summary

1. $\alpha$-continued fractions

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2. The entropy function $h(\alpha)$

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5. Characterization of plateaux

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2. The entropy function $h(\alpha)$
3. Quadratic intervals and matching
4. Tuning operators
5. Characterization of plateaux
6. Local monotonicity of the entropy

## Euclid's algorithm and continued-fractions

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INFINITE EXPANSION

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## Nakada's $\alpha$-continued fraction transformations

For each $\alpha \in[0,1]$, we can define a $\alpha$-euclidean algorithm, where we take the remainder to be in $[\alpha-1, \alpha]$. It is generated by $T_{\alpha}:[\alpha-1, \alpha] \rightarrow[\alpha-1, \alpha]$ as follows:

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and associated to the $\alpha$-continued fraction expansion:

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x=\frac{\epsilon_{1, \alpha}}{c_{1, \alpha}+\frac{\epsilon_{2, \alpha}}{c_{2, \alpha}+\quad \ddots}} c_{n, \alpha} \in \mathbb{N}^{+}, \epsilon_{n, \alpha} \in\{ \pm 1\}
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## Entropy

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For each $\alpha$, the topological entropy of $T_{\alpha}$ is infinite. However, every $T_{\alpha}$ has a unique invariant measure $\mu_{\alpha}$ in the Lebesgue measure class.

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It measures:

- the speed of convergence of the $\alpha$-euclidean algorithm: The average number of steps over all rationals of denominator less than $N$ is

$$
P_{N}(\alpha) \cong \frac{2}{h(\alpha)} \log N
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[Bourdon-Daireaux-Vallée]

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It measures:

- the speed of convergence of the $\alpha$-euclidean algorithm
- the growth rate of the denominators : For almost every $x \in[0,1]$

$$
h(\alpha)=\lim _{n \rightarrow+\infty} \frac{2}{n} \log q_{n, \alpha}(x)
$$

where $p_{n, \alpha}(x) / q_{n, \alpha}(x)$ is the $n$-th convergent of the $\alpha$-expansion of X

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It measures:

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- how chaotic the map $T_{\alpha}$ is


## The entropy function $\alpha \mapsto h\left(T_{\alpha}\right)$



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## Zooming in



Is entropy monotone increasing for $\alpha<\frac{1}{2}$ ?

## Zooming in



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No, it is not monotone!

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It seems like entropy displays a fractal structure

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How to describe and explain the fractal structure?


## Matching, a dynamical source of monotonicity

Nakada and Natsui defined matching intervals as intervals on which the orbits of the two endpoints collide:

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## Conjecture

The union of all matching intervals is dense and has full measure in parameter space.

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The interval $I_{a}:=\left(\alpha^{-}, \alpha^{+}\right)$will be called the quadratic interval generated by $a \in \mathbb{Q} \cap(0,1)$.

## Quadratic intervals are matching intervals

Theorem (Carminati-T., 2010)
Let $I_{r}$ be a maximal quadratic interval, and $r=\left[0 ; a_{1}, \ldots, a_{n}\right]$ with $n$ even. Let

$$
\begin{equation*}
N=\sum_{i \text { even }} a_{i} \quad M=\sum_{i \text { odd }} a_{i} \tag{1}
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Then for all $\alpha \in I_{r}$,

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Corollary
The union of all matching intervals is dense of full measure.

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- Parameter space splits into countably many open intervals, each one of them labelled by a rational number $r$.


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- $h$ is monotone on $I_{r}$, and its monotonicity type is determined by the continued fraction expansion of $r$.
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How about the fractal structure?

## Tuning operators

The self-similarity of $h(\alpha)$ can be explained in terms of tuning operators.

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Idea: $\tau_{r}$ maps the large scale structure to a smaller scale structure, thus creating the fractal self-similarity.

## Results: self-similarity of parameter space

Theorem
If $h$ is increasing on a maximal interval $I_{r}$, then the monotonicity of $h$ on the tuning window $W_{r}$ reproduces the behaviour on the interval $[0,1]$, but with reversed sign.

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1. $h$ is increasing on $I_{\tau_{r}(p)}$ iff it is decreasing on $I_{p}$;
2. $h$ is decreasing on $I_{\tau}(p)$ iff it is increasing on $I_{p}$;
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If, instead, $h$ is decreasing on $I_{r}$, then the monotonicity of $I_{p}$ and $I_{\tau_{r}(p)}$ is the same.



## Results: plateaux

A plateau of a real-valued function is a maximal open interval on which the function is constant.

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The interval $\left(g^{2}, g\right)$ is a plateau for $h(\alpha)$.

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A tuning window $W_{r}$ is neutral if, given $r=\left[0 ; a_{1}, \ldots, a_{n}\right]$ the expansion of $r$ of even length,

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Theorem
Every plateau of $h$ is the interior of a neutral tuning window $W_{r}$.

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(i) $\alpha$ is a phase transition: $h$ is constant on the left of $\alpha$ and strictly monotone (increasing or decreasing) on the right of $\alpha$;
(ii) $\alpha$ lies in the interior of a neutral tuning window: then $h$ is constant on a neighbourhood of $\alpha$;
(iii) otherwise, $h$ has mixed monotonic behaviour at $\alpha$, i.e. in every neighbourhood of $\alpha$ there are infinitely many intervals on which $h$ is increasing, infinitely many on which it is decreasing and infinitely many on which it is constant.

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- 2. there are countably many phase transitions, and they all are tuned images of the phase transition at $\alpha=g$;
- 2.(iii) for a set of parameters of Hausdorff dimension 1!
- there is an explicit algorithm to decide which case occurs, given the usual continued fraction expansion of $\alpha$.


## The end

Thank you!

Bonus level: tuning from complex dynamics
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## Substitutions and tuning

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Baby copies are images of $\mathcal{M}$ via the Douady-Hubbard tuning maps $\tau_{W}$.

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E.g.: Feigenbaum parameter $\Leftrightarrow$ Thue-Morse sequence!

## Dictionary



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The set of rays landing on the real slice of the Mandelbrot set is isomorphic to the bifurcation set $\mathcal{E}$ for $\alpha$-c.f. [Bonanno, Carminati, Isola, T., 2011] Hence the Douady-Hubbard substitution rule translates into our definition of tuning maps for $\alpha$-c.f.!

## The end

Thank you!

