

# Problem set 6

Due date: Apr 16

April 17, 2018

1. Suppose that  $X$  is a Banach space with the norm topology. Show that the Ball  $X$  is norm closed if and only if it is weakly closed.

**Solution:** This became a rather silly question. The question was supposed to be to show that a convex set is weakly closed if and only if it is norm closed. A simple application of Hahn Banach shows that  $BallX$  is weakly closed, and it is clearly norm closed.

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2. Sequential non-compactness of  $\ell^\infty(\mathbb{N})^*$ . Let  $b_n : \ell^\infty(\mathbb{N}) \rightarrow \mathbb{R}$  be the functions defined by

$$b_n(x) = x_n$$

where  $x = (x_1, x_2, \dots, x_n, x_{n+1}, \dots)$ . Show that  $b_n$  does not have any subsequence that converges pointwise, where pointwise convergence in this setup means that for every fixed  $x \in \ell^\infty(\mathbb{N})$ ,  $b_n(x)$  is Cauchy.

**Solution:**

The proof is by contradiction. Let us assume that  $\{b_{n_k}(x)\}_{k=1}^\infty$  and  $n_k < n_{k+1}$  is a subsequence that converges pointwise. The claim is that for the following  $x$ , the sequence  $b_{n_k}(x)$  does not converge.

$$x = \sum_{k=1}^{\infty} (k \bmod 2) 2^{-n_k}$$

Then  $b_{n_k}(x)$  is an alternating sequence of 0's and 1's and hence  $\{b_{n_k}(x)\}_{k=1}^\infty$  does not converge.

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3. Suppose that  $X$  is a reflexive Banach space,  $M$  is a closed linear subspace of  $X$  and that  $x_0 \in X \setminus M$ , then there is a point  $y_0 \in M$  such that  $\|x_0 - y_0\| = \text{dist}(x_0, M)$ . In order to prove this result show that, the norm on  $X$  is lower semicontinuous for the weak topology, and the norm of  $X^*$  is lower semicontinuous for the weak-\* topology. Further show that a lower semicontinuous function on a compact set must achieve its minimum. Note that a function  $f : X \rightarrow \mathbb{R}$  is lower semicontinuous at  $x_0$  in a topological space if there exists an open neighborhood  $U$  of  $x_0$  such that

$$f(x) \geq f(x_0) - \varepsilon \quad \forall x \in U$$

**Solution:** First, we show that the norm is weakly semicontinuous. We wish to find an open neighborhood of  $x_0$  such that

$$\|x\| \geq \|x_0\| - \varepsilon, \quad \forall x \in U.$$

By Hahn-Banach, there exists  $x_0^* \in X^*$  such that  $x_0^*(x_0) = \|x_0\|$  and  $\|x_0^*\| = 1$ . Consider the open neighborhood of  $x_0$  defined by

$$U := \{x : |x_0^*(x - x_0)| < \varepsilon\}.$$

For all  $x \in U$ , we have

$$\begin{aligned} \varepsilon &> |x_0^*(x) - x_0^*(x_0)| \geq \|x_0^*(x_0)\| - \|x_0^*(x)\| \\ &\varepsilon > \|x_0\| - \|x_0^*(x)\|. \\ \therefore \|x_0\| - \varepsilon &< \|x_0^*(x)\| \leq \|x_0^*\| \|x\| = \|x\|. \end{aligned}$$

A similar result holds for the norm of  $X^*$  in the weak-\* topology.

Now, we show that every semi lower continuous function achieves its minimum on a compact set. Suppose not. Suppose  $f$  is semi-lower continuous and  $K$  is a compact set. Suppose that  $f_0 = \inf_{x \in K} f(x)$ . Then for each  $x$  in  $K$ , there exists an  $\varepsilon > 0$  such that  $f(x) - \varepsilon > f_0$ . Since  $f$  is lower semi-continuous, there exists an open neighborhood of  $x$ , denoted by  $V(x)$  such that  $a < f(y)$  for all  $y \in V(x)$ . Then  $K \subset \cup_{x \in K} V(x)$  is an open cover, since  $K$  is compact there exists a finite cover, i.e.  $K \subset \cup_{j=1}^n V(x_j)$ . Then it is easy to show that  $a < a' = \min_{k=1}^n f(x_k) - \varepsilon_k$  is such that  $a' < f(x)$  for all  $x \in K$  which contradicts that  $a$  is the infimum.

Given both of these pieces of information, we now proceed to the main theorem. Consider  $B_{2r}(x_0)$ , where  $r = \text{dis}(x_0, M)$ . Then  $B_{2r}(x_0)$  is weakly compact, since  $X$  is reflexive, and thus  $M \cap B_{2r}(x_0)$  is weakly compact, since  $M$  is closed. Moreover  $M \cap B_{2r}(x_0)$  is non-empty. Since the norm is weakly semi continuous and  $M \cap B_{2r}(x_0)$  is a weakly compact set, we conclude that the norm achieves its minimum on this set.

4. Show that a sequence  $f_n \in C[0, 1]$  equipped with the supremum norm converges weakly if and only if it is norm bounded and pointwise convergent. Give an example of a sequence in the space which converges weakly but not in norm.

**Solution:**

a)  $C[0, 1]^*$  = signed finite measures on  $[0, 1]$ .

Necessity: Suppose  $f_n \rightarrow f$  weakly. Then  $L(f) = \int f(x)$  is a bounded linear functional. Weak convergence implies  $L(f_n) = \int f_n(x) \rightarrow L(f) = \int f(x)$ . Hence  $f_n \rightarrow f$  pointwise. By part 2 of the previous problem  $f_n$  is norm bounded.

Sufficient: Suppose the sequence  $f_n$  is norm bounded and converges pointwise to  $f$ . Then  $f_n \rightarrow f$  weakly. Let  $L(f) = \int_0^1 f d\mu$  where  $\mu$  is a finite signed measure be a bounded linear functional on  $C[0, 1]$ . Then by bounded convergence theorem

$$\lim_{n \uparrow \infty} L(f_n) = \lim_{n \uparrow \infty} \int_0^1 f_n d\mu = \int_0^1 f d\mu = L(f) \quad (1)$$

Hence  $f_n \uparrow f$  weakly

b) Consider the following sequence of functions

$$f_n(x) = \begin{cases} 0 & x > \frac{1}{n} \\ 1 - |2nx - 1| & 0 < x \leq \frac{1}{n} \end{cases}$$

Then  $f_n(x) \in C[0, 1]$ ,  $\sup_n \|f_n\|_\infty = 1$  and  $f_n \uparrow 0$  pointwise in  $[0, 1]$ . Thus by part a)  $f_n \uparrow 0$  weakly. However  $\|f_n - 0\| = 1 \quad \forall n$  and hence  $f_n$  does not converge to 0 in norm

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